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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BRIAN D. HALEVIE-GOLDMAN

Group No.: UNKNOWN

Serial No.: 10/730,627

Examiner:

UNKNOWN

Filed:

**DECEMBER 8, 2003** 

For: METHOD FOR ENHANCING THE NATURAL REWARD SYSTEM FOR

**EXERCISE** 

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sirs:

- 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).
- The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either:
  - (1) a final action under §1.113 or
  - (2) a notice of allowance under §1.311, whichever occurs first.

## **CERTIFICATION OR FEE**

	A.	Included with this transmittal is:						
		i.		a certification (set forth below) in accordance with 37 C.F.R. §1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$180 fee (37 C.F.R. §1.17(p)) to Deposit Account No. 16-1805. A copy of this sheet is enclosed.)				
				OR				
		ii.		the attached fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).				
3.		final a	action ur	nder §1.113 or a notice of allowance under §1.311, whichever occurs				
			CE	ERTIFICATION, PETITION AND FEE				
	A.	ii. the attached fee set forth in 37 C.F.R. §1.17(p) for submiss an information disclosure statement under §1.97(c). (\$18]  The information disclosure statement transmitted herewith is being filed a final action under §1.113 or a notice of allowance under §1.311, whicheve first, but before, or simultaneously with the payment of the issue fee.  CERTIFICATION, PETITION AND FEE  A. In accordance with the requirements of 37 C.F.R. §1.97(d):  i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e)  ii. Applicant hereby petitions for the consideration of the accompany information disclosure statement. 37 C.F.R. §1.97(d)(ii).  iii. Applicant submits the petition fee set forth in §1.17(i)(1). (\$180.0)  CERTIFICATION  (Required if 2Ai or 3 above is marked)  7, the person signing below, certify  that each item of information contained in the information disclosure state was cited in a communication from a foreign patent office in a counterpart						
		i.	Set for	rth below is a certification as specified in 37 C.F.R. §1.97(e).				
		ii.						
		iii.	Applic	cant submits the petition fee set forth in §1.17(i)(1). (\$180.00).				
				CERTIFICATION				
				(Required if 2Ai or 3 above is marked)				
4.	I, the	person	below, certify					
·		cited applic makir	of information contained in the information disclosure statement was amunication from a foreign patent office in a counterpart foreign to the knowledge of the person signing the certification after nable inquiry, was known to any individual designated in §1.56(c) see months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).					

5. If it should be determined that for any reason either an insufficient or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 16-1805. A copy of this petition is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: March 4, 2004

Caroline L. Keller Registration No. 50,491 Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800

Los Angeles, CA 90017-5406

Telephone: (213) 488-7100 Facsimile: (213) 629-1033

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P. O. Box 1450, Alexandria VA 22313-1450, on March 4, 2004.

Caroline L. Keller, Reg. No. 50,491

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Complete if Known Substitute for form 1449A/PTO Application Number 10/730,627 Filing Date 12/08/2003 INFORMATION DISCLOSURE First Named Inventor STATEMENT BY APPLICANT **BRIAN D HALEVIE-GOLDMAN** Art Unit n/a Examiner Name n/a (Use as many sheets as necessary) Attorney Docket Number 15566-306822 of Sheet

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2 (ff known)</sup>			rigules Appeal
		<sup>US-</sup> 3962034	6/8/75	Tsuchida et al.	
		<sup>US-</sup> 4242505	12/30/80	Kawahara et al.	
		<sup>US-</sup> 4275150	6/23/81	Vlachakis	
		<sup>US-</sup> 4369177	1/18/83	Kozaki et al.	
		US- 4376116	3/8/83	Coward et al.	
		US- 4558122	12/10/85	Gennari	
		<sup>US-</sup> 4562149	12/31/85	Shiozaki et al.	
		US- 4599309	7/8/86	Ohsumi et al.	
		<sup>US-</sup> 4605625	8/12/86	Yamada et al.	
	7	<sup>US-</sup> 4764603	8/16/88	Zappia et al.	
	V	US- 4956173	<b>9</b> /11/90	Le Fur, et al.	
		<sup>US-</sup> 5073546	12/17/91	Zappia et al.	
		US- 5087417	<b>2</b> /11/92	Dumbroff et al.	
		US- 5100786	3/31/92	Shiomi et al.	
· · · · · · · · · · · · · · · · · · ·	T	us- 5132291	<b>7</b> /21/92	Gruber	
		US- 5149701	9/22/92	Shafiee et al.	
		US- 5166328	11/24/92	Kurobe et al.	
	See	US- Attachment 1			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te		
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Signature	Examiner Signature	Date Considered	

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This collection of information is required by 37 CFR 1.97 and 1.96. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governet by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Thetemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ACCRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for fo	orm 1449B/PTO			Complete if Known			
				Application Number	10/730,627		
	MATION D	·		Filing Date	12/08/2003		
STATE	MENT BY	APPLI(	CANT	First Named Inventor	BRIAN D HALEVIE-GOLDMAN		
				Art Unit	n/a		
(1	Use as many sheets (	as necessary)		Examiner Name	n/a		
Sheet	1	of	1	Attorney Docket Number	15566-306822		

	NON PATENT LITERATURE DOCUMENTS				
Cite No.  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the imagazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publis and/or country where published.					
	ROGER V. KENDALL, PH.D., "Technical Bulletin, SAMe," FoodScience of Vermont, p. 1-5,				
	GEOFFREY COWLEY AND ANNE UNDERWOOD, "What is S-Adenosylmethionene (SAME)?," Newsweek, (July 5, 1999).				
	WHITE ET AL., "Principles of Biochemistry," 4th ed., p. 536, 553, 554, 566				
	CHEVALIER, "Encyclopedia of Medicinal Plants," p. 119, (September 2, 1996).				
	PRO-Optimizer, Product of metagenics cited by Examiner in 09/781,822				
	A Stable Soft Gel Delivery System, Soft Gel Technologies, Inc.				
	S-Adenosylmethionine (SAMe) Part 2: Fast Acting Nat. Antidepressant, LEF Magazine, Apr. 1997, p. 1-13				
	Health Notes, SAMe, August 2, 1999				
		Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  ROGER V. KENDALL, PH.D., "Technical Bulletin, SAMe," FoodScience of Vermont, p. 1-5,  GEOFFREY COWLEY AND ANNE UNDERWOOD, "What is S-Adenosylmethionene (SAME)?," Newsweek, (July 5, 1999).  WHITE ET AL., "Principles of Biochemistry," 4th ed., p. 536, 553, 554, 566  CHEVALIER, "Encyclopedia of Medicinal Plants," p. 119, (September 2, 1996).  PRO-Optimizer, Product of metagenics cited by Examiner in 09/781,822  A Stable Soft Gel Delivery System, Soft Gel Technologies, Inc.  S-Adenosylmethionine (SAMe) Part 2: Fast Acting Nat. Antidepressant, LEF Magazine, Apr. 1997, p. 1-13			

		<del></del>	_
Examiner	Date		
Signature	Considered		

<sup>\*</sup>EXAMINER: initial if reference considered, whether or not dilution is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered include copy of this form with next communications applicant.

Applicant's unique citation designation number (optional). \*\*Pepplicant is to place a check mark here if English language Translation is attached.

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